

**SECTION .1600 – FEES**

**04 NCAC 03C .1601 FEES, COPIES AND PUBLICATION COSTS**

(a) For applications, petitions, and other proceedings to be filed with the Commissioner of Banks, the following fees shall be paid to the Commissioner of Banks at the time of filing:

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|-----|---|------------|
| (1) | Application for the Formation of a New Bank or State Trust Institution  | \$8,000.00 |
| (2) | Application to Merge or Consolidate Banks, State Trust Institutions, or Bank Holding Companies (fee is per institution) | \$5,000.00 |
| (3) | Application for Reorganization Into a Bank Holding Company Through an Interim Bank (fee is per bank)                    | \$3,000.00 |
| (4) | Application for Bank or Bank Holding Company Change in Control  | \$5,000.00 |
| (5) | Application for Conversion of a National Bank to State Charter  | \$2,500.00 |
| (6) | Application for Voluntary Liquidation   | \$3,000.00 |
| (7) | Application for Conversion of a Savings and Loan Association or a Savings Bank to a State Bank                          | \$2,500.00 |

(b) The fees set forth in Paragraph (a) of this Rule are for standard applications, petitions, and other proceedings filed and considered in the ordinary course of business. Any application, petition, or other proceeding that in the opinion of the Commissioner of Banks requires extraordinary review, investigation, or special examination shall be subject to the actual costs of additional expenses and the hourly rate for the staff's time to be determined annually by the Banking Commission. The Commissioner of Banks shall advise an applicant or petitioner in advance of any additional work required and the hourly rate for the same. The hourly rate shall be:

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|-----|---------------------------------|---------|
| (1) | For Senior Administrative staff | \$75.00 |
| (2) | For Senior Examination Staff    | \$50.00 |
| (3) | For Financial Program Manager   | \$35.00 |
| (4) | For Financial Examiner          | \$25.00 |

(c) Unless otherwise stated, publications externally printed may be obtained at a cost equal to the actual cost of printing plus shipping and handling. All other publications or public record copies are available at the "actual cost" as defined in G.S. 132-6.2(b) for making the copy and mailing cost if applicable. The Office of the Commissioner of Banks shall provide its "actual cost" on the agency's website.

*History Note: Authority G.S. 53C-3-1; 53C-5-2; 53C-7-101; 53C-7-201; 53C-7-207; 53C-7-301; 53C-10-102; 53C-10-103; 53C-10-201; 54B-34.2; 54C-47; Eff. July 1, 1990; Amended Eff. October 1, 2014; June 1, 2004; June 1, 1995; May 1, 1992; July 1, 1991; Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. October 3, 2017.*